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PATENT TRADEMARK OFFICE

Docket No.: 1946/1D864-US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

David Gschneidner, et al.

Serial No.: 09/762,067

Filed: January 31, 2001

For: **COMPOUNDS AND COMPOSITIONS FOR DELIVERING ACTIVE AGENTS**

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INFORMATION DISCLOSURE STATEMENT

Hon. Commissioner of
Patents and Trademarks
Washington, DC 20231

Sir:

In order to comply with 37 CFR 1.97 and 1.98, attached hereto is a copy of Form PTO-1449 and copies of the documents listed thereon.

In accordance with MPEP Sections 609 and 707.05(b), it is requested

that each document cited (including any cited in applicant's specification which is not repeated on the attached Form PTO-1449) be given thorough consideration and that it be cited of record in the prosecution history of the present application by initialing Form PTO-1449 next to the document. Such initialing is requested even if the Examiner does not consider a cited document to be sufficiently pertinent to use in a rejection, or otherwise does not consider it to be prior art for any reason, or even if the Examiner does not believe that the guidelines for citation have been fully complied with. This is requested so that each document becomes listed on the face of the patent issuing on the present application.

Since these documents are being submitted before the first Office Action has been received, no fee is due. The Commissioner is authorized to charge any additional fees required in connection with this Petition or to credit any overpayment to Deposit Account No. 04-0100.

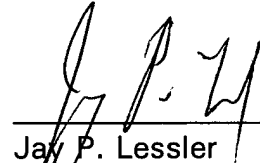
The present Information Disclosure Statement is being submitted in compliance with 37 CFR 1.56, but the citation of such document is not to be construed as an admission that such document is necessarily relevant or prior art. No representation is intended that the cited documents represent the results of a complete search, and it is anticipated that the Examiner, in the normal course of examination, will make an independent search and will determine the best prior art consistent with 37 CFR 1.104(a) and 1.106(b) and, in the course of each search, will review for relevance every document cited on the attached form even if not

initialed.

Early and favorable consideration is earnestly solicited.

Respectfully submitted,

Dated: August 10, 2001



Jay P. Lessler
Registration No. 41,151
Attorney for Applicant(s)

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| Form PTO-1449 (Rev. 10-92) [modified] INFORMATION DISCLOSURE CITATION IN AN APPLICATION (use several sheets if necessary) | Docket No.: 1946/1D864-US1 | Application No.: 09/762,067 |
| IDS Filed 6/01 | Applicants: Gschneidner et al. | |
| | Filing Date: 1/29/2001 | Group Art Unit: |

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | NAME OF PATENTEE OR APPLICANT | CLASS | SUB- CLA SS | FILING DATE |
|---------------------|-----------------|----------|----------------------------------|-------|-------------------|----------------|
| | 4 0 6 1 4 6 6 | 12/6/977 | Sjoholm et al. | 436 | 535 | 10/14/75 |
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| | 5 5 4 0 9 3 9 | 07/30/96 | Milstein et al. | 424 | 491 | 04/25/94 |
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|---|---|---|---|---|---|---|---|----------|-------------------|--------------------------------|--|-----|--------------------------------|----------|--|
| Form PTO-1449 (Rev. 10-92) [modified] INFORMATION DISCLOSURE CITATION IN AN APPLICATION <i>(use several sheets if necessary)</i> | | | | | | | | | | Docket No.: 1946/1D864-US1 | | | Application No.: 09/762,067 | | |
| IDS Filed 6/01 | | | | | | | | | | Applicants: Gschneidner et al. | | | | | |
| | | | | | | | | | | Filing Date: 1/29/2001 | | | Group Art Unit: | | |
| | 5 | 8 | 6 | 3 | 9 | 4 | 4 | 01/26/99 | Leone-Bay et al | | | 514 | 559 | 04/30/97 | |
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| | 6 | 0 | 6 | 0 | 5 | 1 | 3 | 05/09/00 | Leone-Bay et al | | | 514 | 559 | 02/07/97 | |
| | 6 | 0 | 7 | 1 | 5 | 1 | 0 | 06/06/00 | Leone-Bay et al | | | 424 | 85.2 | 04/23/97 | |
| | 6 | 0 | 7 | 1 | 5 | 3 | 8 | 06/06/00 | Milstein et al. | | | 424 | 464 | 09/30/97 | |
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| | 6 | 1 | 0 | 0 | 2 | 9 | 8 | 08/08/00 | Leone-Bay et al. | | | 514 | 563 | 02/06/97 | |
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| | | | | | | | |
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| | 0 0 4 8 5 8 9 | 8/24/00 | WO | | | | |
| | 0 0 5 9 8 6 3 | 10/12/00 | WO | | | | |
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| | Filing Date: 1/29/2001 | Group Art Unit: |

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